

1 **Excitation-Emission Matrix Spectroscopy for Analysis of Chemical Composition of**  
2 **Combustion Generated Particulate Matter**

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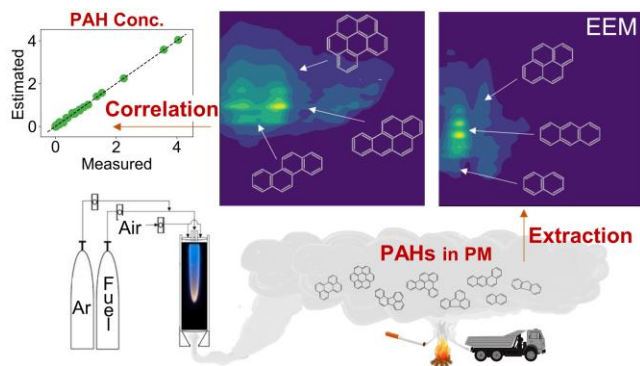
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13 **1. Graphical Abstract**



15 **2. Abstract**

16 Analysis of particulate matter (PM) is critical for the assessment of human exposures to potentially  
17 harmful agents, notably combustion-generated PM; specifically polycyclic aromatic hydrocarbons

18 (PAHs) found in them and associated with carcinogenic and mutagenic effects. In this study, we  
19 quantify the presence and concentrations of PAHs with low molecular weight (LMW,  
20  $126 < MW < 202$ ) and higher molecular weight (HMW,  $226 < MW < 302$ ) in combustion-generated  
21 PM using excitation-emission matrix (EEM) fluorescence spectroscopy. PM samples were  
22 generated in a laminar diffusion inverted gravity flame reactor (IGFR) operated on ethylene and  
23 ethane. Fuel dilution by Ar in 0% to 90% range controls the flame temperature. The colder flames  
24 result in lower PM yields; however, the PM PAH content increases significantly. Temperature  
25 thresholds for PM transition from low to high organic carbon content were characterized on the  
26 basis of the maximum flame temperature ( $T_{max,c} \sim 1814\text{-}1864\text{K}$ ) and highest soot luminosity region  
27 temperature ( $T^*_c \sim 1600\text{-}1650\text{K}$ ). Principal component regression (PCR) analysis of the EEM  
28 spectra correlates to GCMS data with  $R^2$  values of 0.98 for LMW and 0.99 for HMW PAHs. The  
29 agreement demonstrates that PCR-EEM analysis can be used to determine relative concentrations  
30 of organic carbon and PAH fraction in combustion PM.

### 31 **3. Introduction**

32 Ultrafine PM can originate from mobile and industrial combustion sources, forest fires, and other  
33 natural and anthropogenic sources. Size distributions, particle morphology, optical properties, and  
34 chemical composition of these aerosols vary significantly.<sup>1-5</sup> Environmental and occupational  
35 exposures to combustion PM have been linked to adverse effects on human health. Air pollution  
36 was shown to be responsible for 4.2 million deaths in the year 2016.<sup>6</sup> The toxic potential of PM  
37 exposure depends on particle size and chemical composition.<sup>7,8</sup> It is important to evaluate the  
38 organic composition in PM from combustion sources to study the health effects of PM exposure<sup>9</sup>;  
39 however, in large epidemiological studies, it is challenging and costly to perform detailed chemical  
40 analyses of the collected samples.<sup>10,11</sup> Therefore, there is a need for robust, low-cost methods for

41 analysis of PM chemical composition for improved health risk assessment, guidance for the  
42 management of diseases, and targeted intervention strategies.

43 Of particular interest is the role of combustion generated PAHs as their exposure has been  
44 associated with carcinogenic and mutagenic activity in humans.<sup>12-14</sup> In the environment PAHs can  
45 exist in a vapor phase or be associated with airborne PM depending on the atmospheric conditions,  
46 the nature of the aerosol (i.e., origin and properties), and the properties of the PAH.<sup>15,16</sup>  
47 Combustion generated PM consists of graphitic structures and an organic fraction that varies with  
48 flame conditions and fuel composition. PM formed by the combustion process follows certain  
49 growth, maturation, and aggregation pathways. First, fuel pyrolysis and entropic-driven chemical  
50 reactions form PAHs, which are stable in the fuel-rich flame environment.<sup>13,14</sup> These PAHs grow  
51 in size building clusters<sup>19</sup>, which leads to the creation of nascent soot particles.<sup>20,21</sup> The nascent  
52 soot grows by hydrogen abstraction-carbon addition (HACA) or by condensation mechanisms.<sup>20</sup>  
53 Secondary growth of soot particles is possible in complex flow field scenarios.<sup>22</sup> The PAHs  
54 participating in PM formation can be oxidized before the particle exits the flame; however,  
55 depending on the combustion conditions, a significant fraction of organic compounds can be  
56 retained by the particle.

57 Total organic carbon (TOC) analysis is a widely used, relatively low-cost method for estimation  
58 of the organic fraction in PM samples.<sup>23,24</sup> Variations in the organic fraction of the ultrafine PM  
59 leads to uncertainty of the PM exposure impact on health.<sup>10,25</sup> Though the organic fraction in  
60 combustion PM contains a variety of complex hydrocarbon compounds<sup>5</sup>, among them, PAHs have  
61 been reported to be a major cause of oxidative damage.<sup>26-28</sup> The Environmental Protection Agency  
62 (EPA) has established a panel of 16 PAH compounds as priority pollutants that represent a range  
63 of molecular structures with  $128 < MW < 278$  g/mol.<sup>29</sup> More recent reports<sup>30</sup> show that among these,

64 higher molecular weight (HMW) PAHs have high cancerogenic potential. The majority of these  
65 HMW PAHs are classified as probably or possibly carcinogenic (groups 2A and 2B, respectively),  
66 and Benzo-a-pyrene is classified as carcinogenic (group 1) by the International Agency for  
67 Research on Cancer (IARC).<sup>31</sup> The lower molecular weight (LMW) PAHs are considered non-  
68 genotoxic, except naphthalene;<sup>31,32</sup> however, these LMW PAHs can be acutely toxic.<sup>33</sup>

69 PAH analysis in PM is performed via gas chromatography-mass spectrometry (GCMS) and liquid  
70 chromatography-mass spectrometry (LCMS)<sup>34-38</sup>, both techniques are labor-intensive and require  
71 expensive equipment. New spectroscopy-based technologies are being developed to complement  
72 the conventional analysis techniques to determine the PM PAH content.<sup>39-46</sup> PAHs are good  
73 candidates for detection by spectroscopic techniques as they have high absorption coefficients and  
74 quantum yields.<sup>47</sup> The UV-visible electronic transitions in  $sp^2$  carbon systems such as PAHs rely  
75 on  $\pi^*-\pi$  transitions.<sup>48</sup> Several studies show that there is an inverse power law relationship between  
76 the optical band gap (OBG) and the number of benzene rings in PAHs<sup>49,50</sup>. An increase in MW of  
77 PAHs broadens their absorption bands towards longer wavelengths and red-shifts their emission  
78 bands due to the decreasing OBG.<sup>51,52</sup> This variation in spectral properties as a function of MW  
79 can be used to distinguish PAH content in combustion aerosols. In general, fluorescence  
80 spectroscopy is highly sensitive ( $\sim 1\text{ng/mL}$ ) for PAH detection in ultrafine PM<sup>53-55</sup>; however, it is  
81 not specific. For analysis of multi-component mixtures, scanning single-wavelength-excitations  
82 and stacking fluorescence emissions at each excitation wavelength provides a three-dimensional  
83 spectral fingerprint of the sample known as Excitation Emission Matrix (EEM). EEM analysis has  
84 been used previously for PM source identification<sup>56</sup>, PAH content in liquid samples, and  
85 qualitative analysis of diesel spray.<sup>57-61</sup> However, a convolution of individual compound  
86 signatures in a multi-component mixture, such as environmental samples, generates complex EEM

87 spectra that are difficult to interpret. Multivariate statistical techniques can be used to decompose  
88 the EEMs.<sup>62,63</sup>

89 In this study, we demonstrate the ability of EEM technique coupled with multivariate statistical  
90 analysis to estimate concentrations of PAH fractions in combustion PM. The PM samples with  
91 varied TOC fractions were generated by the combustion of diluted ethylene and ethane fuels in an  
92 inverted gravity flame reactor (IGFR)<sup>64</sup>. These samples were analyzed for soot yields, TOC, and  
93 PAH fraction. Concentrations of 24 PAHs with  $128 < MW < 302$  g/mol were measured using GCMS.  
94 Based on their MW, the PAHs were categorized as LMW PAHs (MW=128-202 g/mol) or HMW  
95 PAHs (MW=226-302 g/mol). EEM analysis coupled with principal component regression (PCR)  
96 was performed in parallel to develop a model for estimation of LMW and HMW PAH fractions in  
97 PM. The PCR-EEM estimates show excellent correlations ( $R^2 \sim 0.98-0.99$ ) with PAH  
98 concentrations measured by GCMS for the two ranges of MWs. This technique can be used as a  
99 sensitive, low-cost alternative to TOC or GCMS analyses of PM.

## 100 **4. Experimental Methods**

### 101 4.1. PM Collection in Inverted Gravity Flame Reactor (IGFR)

102 An IGFR can generate ultrafine PM with a wide range of combustion temperatures due to the  
103 stable flame and high residence time compared to an upright flame. The IGFR allows for control  
104 of flame residence times ( $\tau_{res}$ ) by altering the balance of the convective and buoyant terms.<sup>65</sup> The  
105 increased residence time and flame stability in the IGFR have been utilized to synthesize materials  
106 such as carbon and TiO<sub>2</sub> aerosol gels<sup>66,67</sup> and for testing of several hypotheses related to PM  
107 formation and growth.<sup>22</sup> Here, the IGFR is used to produce combustion PM samples with  
108 controlled organic carbon fraction by varying the flame temperature with fuel dilution by Ar. The

109 IGFR consists of a 150 mm long quartz tube with an inner diameter of 32 mm. A mixture of fuel  
110 and Ar enters the combustor in a “+g” (downward) direction. The air is introduced as a co-flow  
111 into the reactor via concentric inlets having diameters 9.5 mm and 32 mm. A flow straightener at  
112 the combustor inlet prevents the propagation of instabilities from the air manifold.

113 The combustion conditions, such as temperature, dilution, fuel composition, and flowrates  
114 determine the PM formation rates and routes, thus controlling PAH fractions in the sample. The  
115 dilution ratio is defined as the ratio of Ar to the fuel-Ar mixture flow rate on volumetric basis.  
116 Introduction of Ar reduces the maximum flame temperature ( $T_{max}$ ). For each fuel, the overall fuel-  
117 air equivalence ratio ( $\phi$ ) was kept constant. Figure S1 shows the IGFR schematic, and Figure S2  
118 shows engineering drawings of the reactor. Table S1 shows the experimental conditions matrix.  
119 Note that  $T_{max}$  generally does not correspond to the region of the maximum soot production.<sup>68</sup> The  
120 soot formation region temperature ( $T^*$ ) is a more relevant measure for particle exposure to  
121 temperature. Methodology for finding  $T^*$  is based on the flame luminosity in the red spectra.<sup>68</sup>  
122 Due to the uncertainties in estimating the range and location of  $T^*$  (as shown in SI Section 4), we  
123 use  $T_{max}$  for flame characterization,  $T^*$  is also reported for reference, the typical values are lower  
124 than  $T_{max}$  by 50-300K.

125 The soot emission factor, defined as mg of PM per gram of fuel, was calculated by gravimetric  
126 analysis. Zeflour PTFE filters (Pall Zefluor®, Pall Cat. # P5PJ037) were weighed before collection  
127 using a microbalance with 0.5  $\mu\text{g}$  resolution (Mettler-Toledo UMT-2, Greifensee, Switzerland).<sup>69</sup>

128 A weighed filter was placed in an open-face filter holder downstream of the flame. The sampling  
129 flow rate was set at 4 slpm, which was greater than the inlet flow, to assure all PM was collected.  
130 Make-up air compensated for the difference in inlet and sampling flow rates. The sample collection  
131 time was varied according to PM yields to gain an adequate mass for TOC, GCMS, and EEM

132 analyses. Table S1 shows the number of replicates for each condition. TOC analysis was  
133 performed by Sunset Laboratories Inc (Tigard, OR-97223, USA) using a thermal/optical method  
134 based on NIOSH 5040.<sup>70</sup>

#### 135 4.2. Sample Extraction

136 After the gravimetric analysis, the samples were extracted in cyclohexane (Uvasol® Cyclohexane  
137 for Spectroscopy, MilliporeSigma Cat. #1.02822.2500). The filters were cut into four equal parts,  
138 and placed in 20 mL glass vials (Cat # 89096-774 VWR, Edison, NJ). The vials were filled with  
139 ~3mL of cyclohexane and sonicated for 30 min in a water bath sonicator (42 kHz, 2510R-MT  
140 Branson, Ultrasonic Corp., Danbury, CT). After sonication, the samples were soaked for 24 hours  
141 and filtered with 0.2 µm PTFE syringe filters (VWR Cat. #28145-491) into 4 mL vials (Cat #  
142 66009-876 VWR, Edison, NJ). Blank filters, used for reference, were extracted in the same  
143 manner. Aliquots (200 µL) of filtered extracts were transferred into 2 mL GCMS vials having 250  
144 µL inserts and PTFE screw tops (Cat# 5182-0715, 5181-8872 and 5182-0717, Agilent, Santa  
145 Clara, CA) for GCMS analysis. The remaining extracts were diluted further into 20 mL glass vials  
146 to a final concentration of 40 µg(soot)/mL(cyclohexane) for EEM analysis.

#### 147 4.3. Gas Chromatography-Mass Spectroscopy (GCMS) Analysis

148 The extracts were analyzed using an Agilent 7000 GC/MS Triple Quad Mass Spectrometer using  
149 two 15 m columns (Agilent Part #: HP-5MS UI) equipped with a backflush. 24 PAHs (EPA 16  
150 PAHs<sup>29</sup> and eight additional compounds with MW up to 302 g/mol) were included in the analysis,  
151 see Figure S5. Calibration curves for PAH species with seven concentration levels in the range 1-  
152 1000 ng/mL were obtained. The calibration standards for the 24 PAHs were a mixture of 23  
153 compounds (Wellington Laboratories Cat. # PAH-STK-A, Guelph, ON, Canada) and one

154 additional compound, coronene, a standard PAH used in several studies on mechanisms of soot  
155 formation<sup>20</sup> (AccuStandard Cat. # H-116, New Haven, CT, USA). Each calibrant included 16  
156 deuterium-labeled PAH internal standards (Wellington Laboratories Cat. # PAH-LCS-A, Guelph,  
157 ON, Canada). PAH internal standards were at a concentration of 100 ng/mL in the calibrants, and  
158 an equivalent concentration of the same internal standard was spiked into each sample for use in  
159 quantification. SI Section 5 shows the compounds and calibration curve details. The instrument  
160 was operated in pseudo multiple reaction monitoring (PMRM) mode,<sup>71</sup> the details are provided in  
161 SI Section 5.

#### 162 4.4. Excitation-Emission Matrix (EEM) Analysis

163 The EEM spectra of PM extract samples, each having a concentration of 40  
164  $\mu\text{g}(\text{soot})/\text{mL}(\text{cyclohexane})$ , were obtained using a spectrofluorometer (Aqualog-880-C, HORIBA  
165 Instruments Inc. Edison, NJ). The spectra were recorded in the range of excitation wavelength  $\lambda_{ex}$   
166 = 200-600 nm with a 2 nm resolution. For each excitation wavelength, the instrument records  
167 emissions using CCD array in the range of  $\lambda_{em} = 246-826$  nm with a 0.58 nm resolution. The  
168 spectra were normalized to Raman units (R.U.).<sup>72</sup> To account for Rayleigh scattering, an EEM of  
169 blank cyclohexane was subtracted from each EEM sample. The remaining Rayleigh and Raman  
170 scattering peaks were removed computationally.<sup>73</sup> Absorption of PM extracts in the range  $\lambda_{ex} =$   
171 200-600 nm was recorded to correct the EEM measurements for inner filter effect.<sup>74</sup> The processed  
172 EEMs were then passed through a median filter and a Gaussian filter in MATLAB (MathWorks  
173 Inc.) to smooth the data.



174 4.5. Principal Component Regression (PCR)

175 PCR was performed as a two-step process: (1) transforming the EEM data onto its principal  
176 components (PCs) and (2) fitting regression models on the transformed EEM data and PAH  
177 concentrations obtained from GCMS analysis. EEM is recorded as a 2D matrix with a fluorescence  
178 intensity value for each pixel which corresponds to one of 1000 row positions (one for each  
179 emission wavelength) and 201 column positions (one for each excitation wavelength).

180 In the first step of PCR, each EEM 2D matrix (1000 x 201) was unfolded into a 1D row vector (1  
181 x 20100). The EEM row vectors of all samples were stacked to create a data matrix  $C$  ( $n$  x 201000),  
182 where  $n$  is the total number of samples. The data matrix  $C$  was reduced with principal component  
183 analysis (PCA) using the scikit-learn library in Python 3.6.0.<sup>75</sup> The number of PCs ( $d$ ), required to  
184 represent the data in the data matrix  $C$  was varied from 1 to 10. The data matrix  $C$  can be  
185 represented as the matrix product of  $s$  and  $v$  and a residual matrix  $E$ , shown in (1)

$$C = sv + E \quad (1)$$

186 where  $s$  ( $n$  x  $d$ ) is the scores matrix and  $v$  ( $d$  x 201000) is the loadings matrix having  $d$  PC vectors.  
187 The scores matrix  $s$  is the reduced form of data matrix  $C$ ; the operation reduces the number of data  
188 points required to represent each EEM from 20100 to  $d$ . The value of  $d$  is chosen to minimize the  
189 number of PCs that account for the maximum variance in the EEM dataset. The variance in the  
190 EEM data accounted by the PCs for  $d=1$  to 10, see Figure S16.

191 For the second step of PCR, the scores matrix  $s$  ( $n$  x  $d$ ) is used to fit linear models for estimating  
192 concentrations of PAH fractions. We used the ordinary least squares method in the scikit-learn

193 library in Python 3.6.0 to perform multiple linear regression (MLR) to fit two linear models  
194 between: (i) EEM scores matrix and the LMW PAH concentrations from GCMS analysis and (ii)  
195 EEM scores matrix and HMW PAH concentrations from GCMS analysis. The mean squared error  
196 (MSE) representing the error in correlation for each model was calculated using “leave one out  
197 cross-validation” method (LOOCV).<sup>75</sup> The MSEs for range  $d=1-10$  are shown in Figure S17.  
198 Based on the variance in the EEM data for  $d=1-10$  PCs and the MSE,  $d = 5$  was chosen for fitting  
199 the linear models as it accounted for 99.99% variance. The MSE did not change significantly for  
200  $d > 5$ , indicating that all relevant spectral features were captured by the first 5 PCs.

201 Finally, PCA and MLR, referred together as principal component regression (PCR), was  
202 performed using all samples ( $n=20$ ) and  $d = 5$ . The coefficient of determination ( $R^2$ ) was  
203 determined using LOOCV.

## 204 **5. Results and Discussion**

### 205 5.1. Effect of Fuel Dilution on the Soot Yields and TOC

206 Effect of fuel dilution by Ar on the maximum flame temperatures, soot yields, and TOC is studied.  
207 Figure 1A shows that  $T_{max}$  decreases with fuel dilution due to heat transfer from the combustion  
208 reaction to Ar. The flame brightness and color are shown in Figure S6 and Figure S7. Figure 1B  
209 shows that the emission factor increases with  $T_{max}$ . The amount of soot produced for the flame  
210 condition with the highest  $T_{max}$  is two orders of magnitude greater than that of the lowest  
211 temperature. Although the emission factor is measured ex-situ gravimetrically, visual observation  
212 confirms that flames with greater  $T_{max}$  have significantly higher soot radiation. At the higher Ar  
213 dilutions, the flame is mostly blue (Figure S6 and Figure S7) with a visible orange region at the  
214 tip of the flame. This agrees with the previous reports of soot formation suppression due to inert

215 additives.<sup>76</sup> The contributing factors include (i) dilution (lower concentrations) of species  
216 participating in the reaction, (ii) thermal effects – lower collision energies, and (iii) chemical  
217 effects caused by the free radicals scavenging by the diluent. Dilution by inert additives has been  
218 shown to have a greater impact on the sooting tendency than thermal effects alone.<sup>76–78</sup>

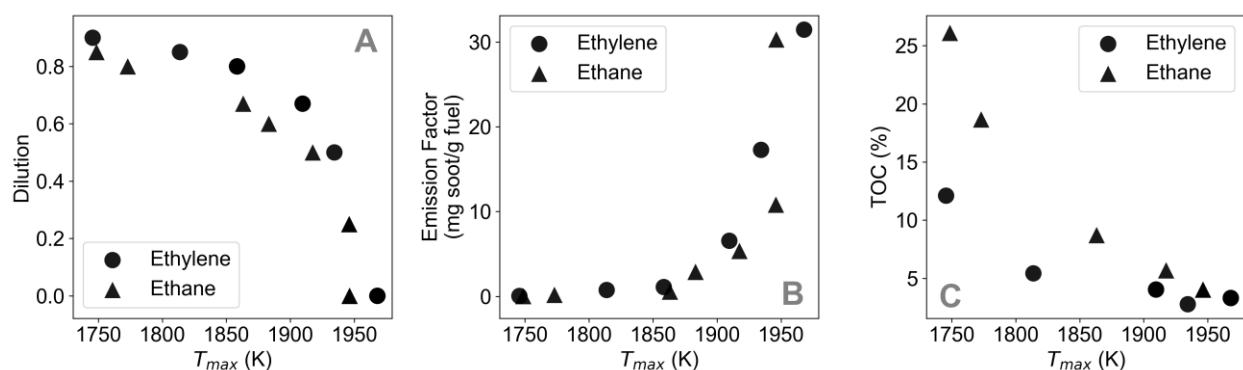


Figure 1: Maximum flame temperature ( $T_{max}$ ) varies with argon dilution of fuel affecting the soot emission factor and TOC from ethylene and ethane flames. (A): The maximum flame temperature decreases with increasing Ar dilution for both fuels. (B): The emission factor (soot yields) increases with flame temperature. (C): TOC fraction decreases with increasing flame temperature. Figure S8 shows variation of soot emission factor and TOC with Ar dilution for both fuels.

219 Figure 1C shows that TOC decreases with the increase in flame temperature. The higher TOC in  
220 the soot from lower temperature flames is likely due to reduction in hydrogen abstraction,  
221 hindrance of carbonization of young soot particles and reduction in PAH oxidation rates.<sup>20</sup>  
222 Detailed analysis of the soot formation mechanisms and the effects of the flame conditions on the  
223 PM composition<sup>79,80</sup> are beyond the scope of this work.

## 224 5.2. PAH Composition from GCMS Analysis

225 Figure 2 shows the PAH content in PM generated from ethylene and ethane flames as a function  
226 of flame temperatures. A total of 24 PAHs were analysed, but only 16 groups are shown, as the  
227 PAHs detected at low concentrations were excluded, and structural isomers were grouped together.  
228 For both fuels, HMW PAHs are observed at lower  $T_{max}$ . The LMW PAHs are mostly found at  
229 intermediate and high  $T_{max}$ . Curiously, in ethylene's case, lowest  $T_{max}$  conditions yield both  
230 LMW and some HMW PAHs associated with PM. We do not have an explanation for this  
231 observation though this data point was repeated several times. Figure S9 and Figure S10 show the  
232 complete GCMS dataset including each PAH compound.

233 For both fuels, we observe two temperature ranges that correspond to the presence of HMW and  
234 LMW PAHs in the PM sample. The transition is associated with soot maturation, i.e., a transition  
235 of nascent soot with liquid-like properties, high organic fraction and unstructured morphology to  
236 particles with graphitic core-shell carbon structures. This transition is typically defined by  
237 carbonization temperature ( $T_{carb}$ )<sup>81,82</sup>. From a phenomenological perspective, the temperature in  
238 the highest soot concentration region is a more relevant metric to evaluate this transition than the  
239 maximum flame temperature. In our experiments,  $T_{max}$  is always higher than the temperature  
240 experienced by the soot during maturation  $T^*$ . The values of  $T^*$  were determined based on the  
241 temperature range in the highest luminosity region in flame images, estimated using thermocouple  
242 temperature measurements along the flame front; the details are described in SI Section 4. For  
243 flames with  $T^* > T_{carb}$ , the liquid-like HMW PAHs that form the nascent soot particle, transition  
244 to graphitic structures forming black carbon (BC), which is typically associated with high-  
245 temperature combustion sources. Brown carbon, characterized by high TOC fraction, is often  
246 found in low-temperature combustion. These conditions are typically present in biomass

247 combustion due to lower heating value of the fuel (high moisture content) and complex  
 248 (endothermic) surface chemistry reaction. In our experiments, lower temperature samples exhibit  
 249 a brown color. In terms of maximum flame temperature, the threshold for transition from high  
 250 PAH PM to highly graphitic PM is in the range 1814K-1864K, denoted by  $T_{max,c}$ , the subscript ‘c’  
 251 stands for critical. The transition is shown as a dotted grey line in Figure 2. These flame  
 252 temperatures correspond to the estimated local temperature condition for soot exposure of  $T^*_c \sim$   
 253 1600K-1650K.

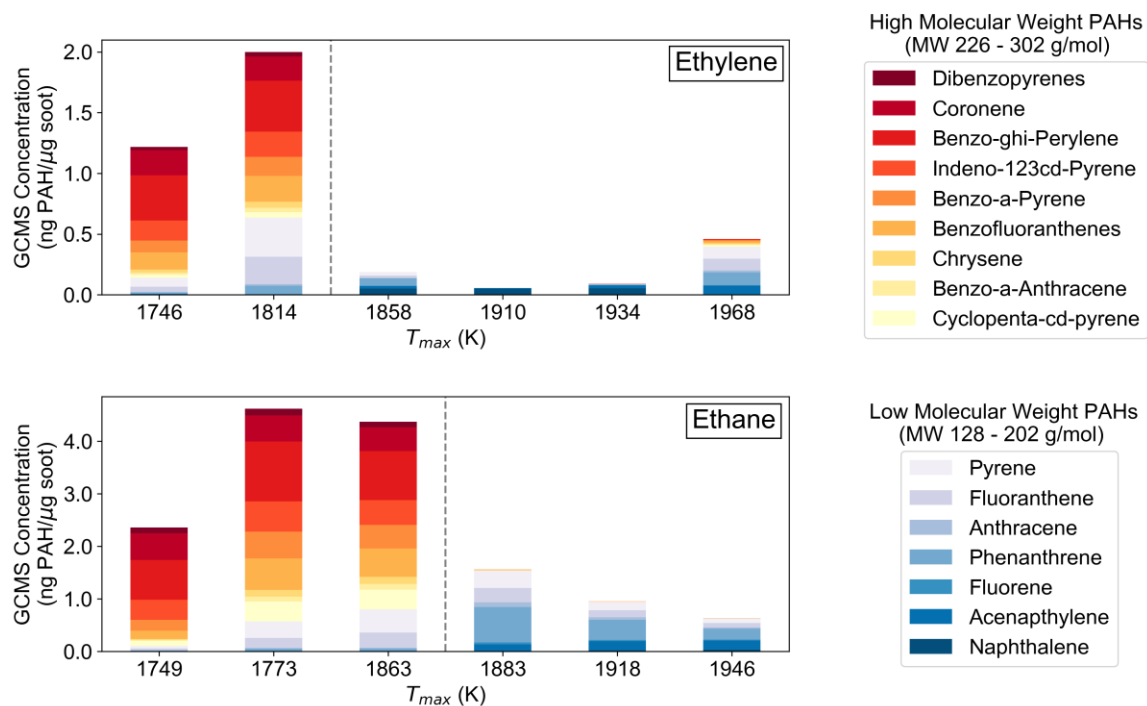


Figure 2: PM PAH fraction as a function of  $T_{max}$  for ethylene and ethane flames. GCMS concentration of 16 PAHs is divided into LMW and HMW PAH. For higher  $T_{max}$ , LMW PAHs are prevalent; for lower  $T_{max}$ , HMW PAHs are the dominant group. The vertical dashed grey line indicates the carbonization threshold in terms of maximum flame temperature.

### 254 5.3. Spectral Information from EEM Analysis

255 Figure 3 shows the EEM spectra of PM generated from ethylene flames at  $T_{max}=1746\text{K}$ , 1858K  
256 and 1968K and ethane flames at  $T_{max}=1749\text{K}$ , 1863K and 1946K. For both fuels, the location and  
257 intensity of fluorescence emission peaks varies with  $T_{max}$ . At  $T_{max}=1968\text{K}$  for ethylene and  
258  $T_{max}=1946\text{K}$  for ethane, the emission peaks are present at shorter excitation wavelengths and have  
259 lower intensities. At  $T_{max}=1746\text{K}$  for ethylene and  $T_{max}=1749\text{K}$  for ethane, the range of excitation  
260 wavelengths where fluorescence peaks are observed increases, indicating a broadening of PAH  
261 absorption bands, and the emission peaks shift towards longer wavelengths. This suggests that  
262 colder flames produce PM with higher MW PAHs than hotter (less diluted) flames, which agrees  
263 with the GCMS analysis, as shown in Figure 2. The EEM spectra for the entire range of flame  
264 conditions are shown in Figure S12. Figure S14 shows the emission spectra for pure PAHs in the  
265 target molecular weight range. By comparison, the emission peaks in the EEMs from higher  $T_{max}$   
266 samples are associated with the LMW PAHs and emission peaks in the EEMs for PM samples at  
267 lower  $T_{max}$  are associated with the HMW PAHs. Similar to the GCMS data, the temperature  
268 threshold can be determined by change in the EEM spectra. Note that the EEM signal intensities  
269 are significantly greater for lower temperature samples due to the high fluorescence quantum yield  
270 of HMW PAHs (sample mass normalized).<sup>83</sup> The odd result of having HMW PAHs for the hottest  
271 ethylene condition,  $T_{max}=1968\text{K}$ , as pointed out in the GCMS analysis, is also observed in EEM  
272 analysis via the fluorescence peaks associated with HMW PAHs at  $\lambda_{ex} = 300 - 400 \text{ nm}$  and  $\lambda_{em} =$   
273  $400 - 500 \text{ nm}$ .

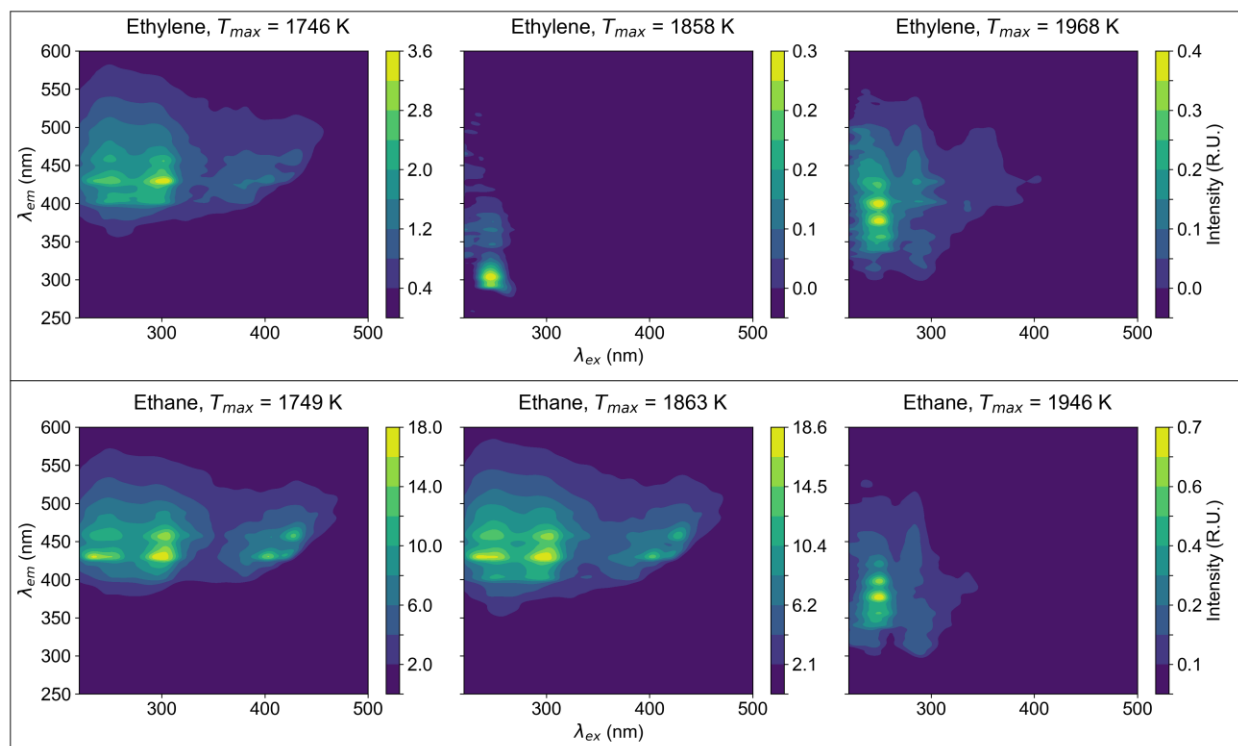


Figure 3: The location and intensity of fluorescence peaks in the EEM spectra vary with  $T_{max}$ . PM samples for hotter flames have emission peaks at shorter emission wavelengths and in narrow excitation bands. PM samples at lower  $T_{max}$  have emission peaks at longer emission wavelengths and broader excitation bands.

274 5.4. Total Fluorescence Intensity

275 Figure 4 compares the total PAH concentrations in PM samples from GCMS analysis against the  
 276 total fluorescence intensity, defined as the sum of intensities at each excitation-emission pair in  
 277 the EEM. Since PM samples from  $T_{max} > T_{max,c}$  have predominantly LMW PAHs (see Figure 2),  
 278 variation in the total fluorescence intensity can be attributed to the variation in predominantly  
 279 LMW PAH concentrations. LMW PAH fluorescence peaks are located in the lower-left quadrant

280 of the EEM spectra at shorter excitation and emission wavelengths ( $\lambda_{ex} < 300nm$ ;  $\lambda_{em} <$   
281  $450nm$ ), as shown in Figure 3. Similarly, variation in the total fluorescence intensity in PM  
282 samples for  $T_{max} < T_{max,c}$  can be attributed to the variation in concentrations of HMW PAHs;  
283 their fluorescence peaks located at higher wavelengths ( $\lambda_{ex} > 300nm$ ;  $\lambda_{em} > 400nm$ ). There is  
284 a clear distinction between emission wavelength and width of excitation bands in the EEMs of PM  
285 samples with dominant LMW PAHs vs. HMW PAHs. The integrated fluorescence intensity  
286 follows the total PAH concentration levels for both fuels over a wide range of flame temperatures.  
287 Compounds other than the 24 PAHs from GCMS panel contribute to the fluorescence, their peak  
288 location in the EEM spectra and relative intensity are not known.



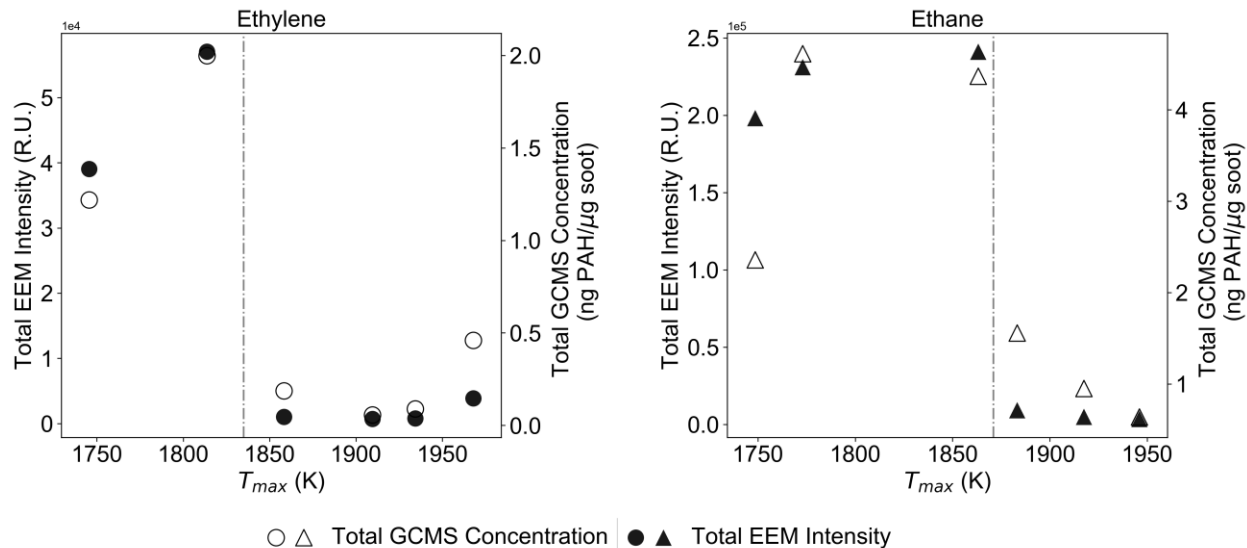


Figure 4: Comparison of integrated EEM fluorescence signal to PAH concentration from GCMS analysis of 24 PAHs panel for diluted ethylene and ethane flames. The dotted line represents transition threshold from black carbon (low TOC, low HMW PAHs) to brown carbon (high TOC, high HMW PAHs).

## 289 5.5. Correlating EEM and GCMS Results

290 Figure 5 shows the estimated LMW and HMW PAH concentrations based on the EEM-PCR  
 291 analysis with five principal components. Based on the LOOCV method, the correlations with  
 292 GCMS data have  $R^2$  values of 0.98 and 0.99 for the LMW and HMW PAH, respectively. Although  
 293 the intensity of the EEM fluorescence peaks for high-temperature PM samples is lower than that  
 294 for colder flames (see Figure 4), the unique attributes of the spectra, i.e., peak locations, widths,  
 295 and other overall shape parameters, allow for accurate estimation of LMW PAH concentrations.

296 Here we propose that fluorescence intensity in the specific EEM region, indicative of the  
297 concentration of LMW and HMW PAHs, can be used as a fluorescent fingerprint for the organic  
298 compounds in combustion PM. For samples where both LMW and HMW PAH groups are present,  
299 their emission wavelengths and excitation bands overlap, and it is necessary to determine the  
300 fraction of each group. In the current work, the PCR technique is used to extract features associated  
301 with LMW and HMW PAHs and to determine the concentration of each PAH group. While this  
302 method can estimate LMW and HMW PAH concentrations as low as 0.1 ng PAH/ $\mu\text{g}$  soot, it is not  
303 specific in terms of determining the concentrations of individual PAHs due to the overlap in  
304 fluorescence peaks and presence of other fluorescent species which were not analyzed in the  
305 present study. Multivariate analysis techniques can improve the accuracy and specificity of this  
306 method if the data includes a greater variation in concentration of the specific PAH target.

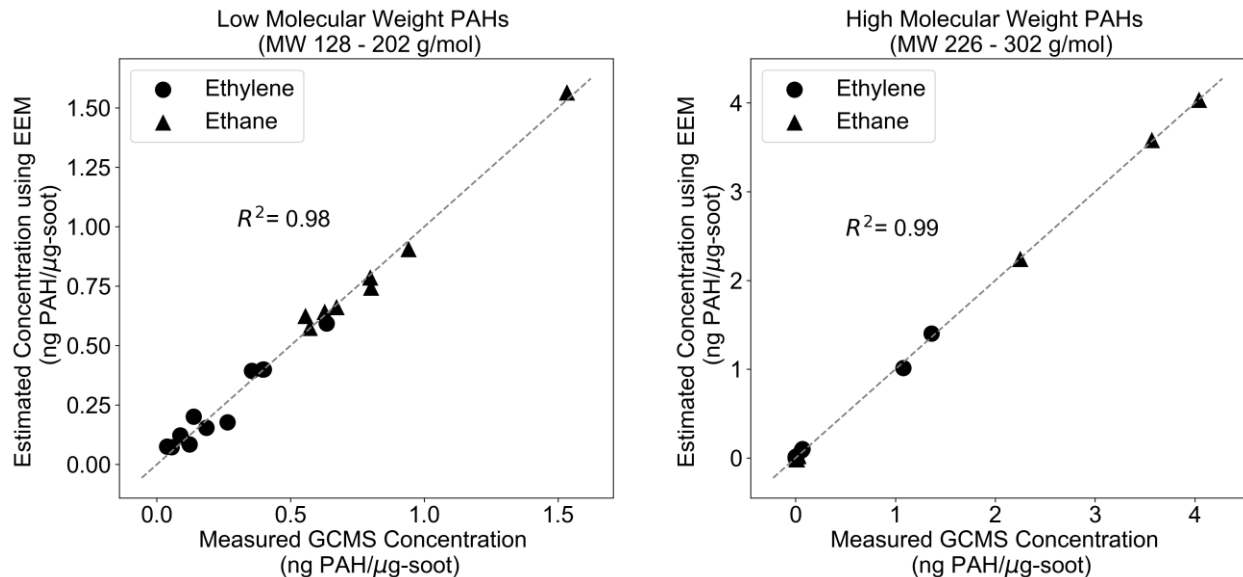


Figure 5: Parity plots of PAH concentrations estimated by EEM-PCR vs. concentration measured by GCMS for LMW (left) and HMW (right) PAHs in PM samples generated from ethylene and ethane flames.

307 In this study, we have demonstrated that the PCR-EEM technique can be used to estimate  
 308 combustion PM organic fraction, in particular, the concentrations of LMW and HMW PAH  
 309 groups. Composition of combustion PM in terms of TOC and PAH concentrations was controlled  
 310 by Ar dilution of ethylene and ethane in the inverted gravity diffusion flame. The maximum flame  
 311 temperature decreases with increase in fuel dilution. The colder flames produce lower PM yield;  
 312 however, the PM TOC content increased significantly, from 3-5% up to 13-27% for ethylene and  
 313 ethane respectively. The increase in organic carbon is associated with the presence of HMW PAHs.  
 314 Temperature thresholds for PM transition from low to high TOC PM were determined based on  
 315 the maximum flame temperature  $T_{max,c} \sim 1814-1864\text{K}$ , which corresponds to temperature of the  
 316 highest soot luminosity (concentration) region of  $T^*_c \sim 1600-1650\text{K}$ . The PCR-EEM method based

317 on fluorescent fingerprint attributes, such as location, width, overall shape and intensity can be  
318 used to quantify the levels of LMW and HMW PAH groups in ultrafine PM produced by  
319 combustion.

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## 323 **7. References**

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